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McDermott, Will & Emery 28 State Street Boston, MA 02109		EXAMINER ELAHEE, MD S		
		ART UNIT PAPER NUMBER		
		2645		
		DATE MAILED: 01/13/2004		

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/945,282

Applicant(s)

MERROW ET AL.

Examiner

Md S Elahee

Art Unit

2645

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 03 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-24 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-24 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. §§ 119 and 120**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All   b) ☐ Some \* c) ☐ None of:  
1. ☐ Certified copies of the priority documents have been received.  
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.  
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  
\* See the attached detailed Office action for a list of the certified copies not received.
- 13) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application) since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.  
a) ☐ The translation of the foreign language provisional application has been received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121 since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.

**Attachment(s)**

- 1) ☐ Notice of References Cited (PTO-892)                      4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)                      5) ☐ Notice of Informal Patent Application (PTO-152)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_                      6) ☐ Other: \_\_\_\_

**DETAILED ACTION**

***Response to Amendment***

1. This action is responsive to an amendment filed 10/17/03. Claims 1-24 are pending.

***Response to Arguments***

2. Applicant's arguments mailed on 10/17/03 have been fully considered but they are not persuasive.

Regarding claim 1, the Applicant argues on page 10, lines 20-23 that Matthews and Bartholomew failed to teach the added limitation "a target person for whom a voice pattern template is not defined". The examiner disagrees with this argument. Because, Matthews does teach a call (i.e., a telephone call) to a telephone station (i.e., a location having a telephone number that lists a target person for whom a voice pattern template is not defined) (col.83, lines 30-34). Thus the rejection of the claim in view of Matthews and Bartholomew remain. Furthermore, The examiner disagrees with the argument regarding claims 11, 21 and 22, for the same reasons as discussed above with respect to claim 1.

Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993). Therefore the examiner has given the claim language its broadest reasonable interpretation. Since applicants have not argued any dependent claims, they stand or fall with the independent claims.

***Claim Rejections - 35 USC § 112***

3. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it

Art Unit: 2645

pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

4. Claims 1, 11, 21 and 22 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. Because, the added limitation "a target person for whom a voice pattern template is not defined" is not disclosed in the specification.

*Claim Rejections - 35 USC § 103*

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claims 1-7 and 11-17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Matthews et al. (U.S. Patent No. 4,761,807) and in view of Bartholomew et al. (U.S. Patent No. 6,167,119).

Regarding claims 1 and 11, Matthews teaches providing, with VMS, a call (i.e., a telephone call) to a telephone station (i.e., a location having a telephone number that lists a target person for whom a voice pattern template is not defined) (col.83, lines 30-34; 'providing' reads on the claim 'placing' and 'VMS' reads on the claimed 'an automated calling system').

Matthews further teaches playing a name announcement which asks for the recipient's I.D. (fig. 40; col.83, lines 30-62; 'name announcement' reads on the claimed 'a prerecorded greeting prompt' and 'recipient's I.D.' reads on the claim 'target person').

Matthews fails to teach, "receiving a spoken response from an answering person". Bartholomew teaches receiving a spoken response from an answering party (col.43, lines 62-67, col.44, lines 1-12; 'party' reads on the claim 'person'). Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Matthews to allow receiving a spoken response from an answering person as taught by Bartholomew. The motivation for the modification is to have the spoken response in order to identify the answering person without requiring the pressing of his DTMF keypad.

Matthews fails to teach, "performing a speech recognition analysis on said spoken response to determine a status of said spoken response". Bartholomew teaches voice authentication module comparing the extracted speech information to stored pattern information to identify and authenticate the particular answering party (col.44, lines 1-12; 'voice authentication module comparing the extracted speech information to stored pattern information' reads on the claim 'performing a speech recognition analysis on said spoken response' and 'identify and authenticate the particular answering party' reads on the claim 'determine a status of said spoken response'). Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Matthews to allow speech recognition analysis to determine the target person as taught by Bartholomew. The motivation for the modification is to have the speech recognition analysis in order to make a selection without requiring the person who answers the call to press his DTMF keypad.

Matthews further fails to teach, "if said speech recognition analysis determines that said answering person is said target person, initiating a speech recognition application with said target person". Bartholomew teaches that if voice authentication module determines that the answering

Art Unit: 2645

party is the called subscriber, monitoring the conversation with the called subscriber (col.44, lines 1-12, 31-63; 'voice authentication module' reads on the claim 'speech recognition analysis', 'answering party is the called subscriber' reads on the claim 'said answering person is said target person' and 'monitoring the conversation with the called subscriber' reads on the claim 'initiating a speech recognition application with said target person'). Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Matthews to allow the answering person as the target person as taught by Bartholomew. The motivation for the modification is to have the determination in order to keep the secrecy of the call.

Regarding claims 2 and 12, Matthews fails to teach, "if said speech recognition analysis determines that said spoken response indicates that said answering person is not said target person, a next step comprises initiating a prerecorded query asking for said target person". Bartholomew teaches that if voice authentication module determines that the spoken response indicates that the answering party is not the called subscriber, a next step comprises asking for the harassed party (col.44, lines 1-12, col.45, lines 28-52; 'voice authentication module' reads on the claim 'speech recognition analysis', 'the answering party is not the called subscriber' reads on the claim 'said answering person is not said target person' and 'asking for the harassed party' reads on the claim 'initiating a prerecorded query asking for said target person'). Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Matthews to allow speech recognition analysis determining that the answering person is not said target person as taught by Bartholomew. The motivation for the modification is to have

Art Unit: 2645

the speech recognition analysis in order to make sure that the target person is answering the phone.

Regarding claims 3 and 13, Matthews further fails to teach, "upon said target person answering said telephone call, said method further comprises initiating a speech recognition application with said target person". Bartholomew teaches that upon the called subscriber answering the telephone, the method further comprises monitoring the conversation with the called subscriber (col.44, lines 1-12, 31-63, col.45, lines 28-52; 'the called subscriber answering the telephone' reads on the claim 'upon said target person answering said telephone call' and 'monitoring the conversation with the called subscriber' reads on the claim 'initiating a speech recognition application with said target person'). Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Matthews to allow the answering person as the target person as taught by Bartholomew. The motivation for the modification is to have the determination in order to keep the secrecy of the call.

Regarding claims 4 and 14, Matthews further fails to teach, "said target person is not present at said location, a next step comprises initiating a prerecorded query asking to leave a message for said target person". Bartholomew teaches that the called subscriber is not answering the telephone, a next step comprises initiating an answering prompt message to the caller to record a message from the caller (col.44, lines 1-12, 31-63, col.45, lines 28-52, col.47, lines 33-39; 'the called subscriber is not answering the telephone' reads on the claim 'said target person is not present at said location' and 'an answering prompt message to the caller to record a message from the caller' reads on the claim 'a prerecorded query asking to leave a message for said target person'). Thus, it would have been obvious to one of ordinary skill in the art at the time the

Art Unit: 2645

invention was made to modify Matthews to allow initiating a prerecorded query asking to leave a message for said target person as taught by Bartholomew. The motivation for the modification is to have the initiation in order to record the message for later retrieval.

Regarding claims 5 and 15, Matthews further teaches instructing the user (col.84, lines 21-36; 'instructing the user' reads on the claim 'providing a prerecorded message' to said answering person').

Regarding claims 6 and 16, Matthews further fails to teach, "if said speech recognition analysis determines that said spoken response is a hold request, a next step comprises entering a wait state to wait for said target person to provide a spoken response to said telephone call". Bartholomew teaches if voice authentication module determines that the spoken response is a hold request, a next step comprises entering a hold sequence to wait for the answering party to provide a spoken response to the telephone (col.44, lines 1-12, 31-63, col.45, lines 28-52, col.46, lines 1-5, col.47, lines 53-67; 'voice authentication module' reads on the claim 'speech recognition analysis', 'hold sequence' reads on the claim 'wait state', 'answering party' reads on the claim 'target person' and 'telephone' reads on the claim 'telephone call'). Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Matthews to allow spoken response as a hold request as taught by Bartholomew. The motivation for the modification is to have the hold request in order to get the target person.

Regarding claims 7 and 17, Matthews fails to teach "upon said target person providing a spoken response to said telephone call, said method further comprises initiating a speech recognition application with said target person". Bartholomew teaches that upon the called subscriber answering the telephone, the method further comprises monitoring the conversation



Art Unit: 2645

with the called subscriber (col.44, lines 1-12, 31-63, col.45, lines 28-52; 'the called subscriber answering the telephone' reads on the claim 'upon said target person providing a spoken response to said telephone call' and 'monitoring the conversation with the called subscriber' reads on the claim 'initiating a speech recognition application with said target person'). Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Matthews to allow target person providing a spoken response to telephone call after being available as taught by Bartholomew. The motivation for the modification is to allow the system to deliver the message to the particular person to keep the secrecy of the message.

7. Claims 8, 10, 18 and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Matthews et al.(U.S. Patent No. 4,761,807) and in view of Bartholomew et al.(U.S. Patent No. 5,594,791) and further in view of Miner et al.(U.S. Patent No. 5,652,789).

Regarding claims 8 and 18, Matthews in view of Bartholomew fail to teach "if said speech recognition analysis determines that said spoken response is a request for the identity of the entity responsible for the calling system, the method further comprises initiating a prerecorded response indicating the identity of the calling party". Miner teaches the system attempting to recognize the caller by playing prerecorded response (col.7, lines18-37). If the system succeeds in recognizing the caller on the basis of his phone number, it then plays the prerecorded message and stores the identity of the contact (col.7, lines 38-50). It then attempts to locate the subscriber (col.7, lines 51-56). Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Matthews in view of Bartholomew to allow the system to identity the entity responsible for the calling system and to initiate a prerecorded response indicating the identity of the calling party as taught by Miner. The

Art Unit: 2645

motivation for the modification is to allow the calling system to provide identity of the calling party and the target person.

Regarding claims 10 and 20, Matthews in view of Bartholomew fail to teach "if said speech recognition analysis cannot determine a status of said spoken response, said method further comprises repeating, said prerecorded greeting which asks for the target person". Miner further teaches electronic assistant mediating the connection when a contact tries to reach the subscriber (col.2, lines 20-22) and performing a speech recognition analysis on spoken response of the subscriber (col.6, lines 26-37). If the subscriber is not accepting any calls (col.7, lines 66,67), the system plays a prerecording message (col.8, lines 1,2). The system may also send a message notifying the subscriber of the call and identifying the caller (col.8, lines 33-35). Then the subscriber has the option of accepting the call, asking the system to place the caller on hold while he completes his present call (col.8, lines 36-39). When the subscriber has completed his other call, he instructs the system to establish a direct connection with the new caller (col.8, lines 40-49). Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Matthews in view of Bartholomew to allow the system to repeat prerecorded greeting which asks for the target person if the speech recognition analysis cannot determine a status of spoken response as taught by Miner. The motivation for the modification is to allow the calling system to make sure the availability of the target person.

8. Claims 9 and 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Matthews et al. (U.S. Patent No. 4,761,807) and in view of Bartholomew et al. (U.S. Patent No. 6,167,119) and further in view of Szlam et al. (U.S. Patent No. 5,828,731).

Regarding claims 9 and 19, Matthews in view of Bartholomew fail to teach "said telephone number is not the correct number for the target person, the method further comprises initiating a prerecorded apology message and terminating said telephone call". Szlam teaches that a wrong number was made, the method further comprises playing an apology message and terminating the call terminating the call (col.2, lines 51-58, fig. 2B; 'a wrong number was made' reads on the claim 'said telephone number is not the correct number for the target person' and 'playing an apology message and terminating the call terminating the call' reads on the claim 'initiating a prerecorded apology message and terminating said telephone call'). Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Matthews in view of Bartholomew to play an apology message as taught by Szlam. The motivation for the modification is to have initiating a prerecorded apology message in order to generate an apology message to the call recipient for apologizing for the wrong call.

9. Claim 21 is rejected under 35 U.S.C. 103(a) as being unpatentable over Matthews et al. (U.S. Patent No. 4,761,807) and in view of Bartholomew et al. (U.S. Patent No. 6,167,119) and further in view of Miner et al. (U.S. Patent No. 5,652,789) and further in view of Szlam et al. (U.S. Patent No. 5,828,731).

Regarding claims 21(A)-21(D), Matthews teaches providing, with VMS, a call (i.e., a telephone call) to a telephone station (i.e., a location having a telephone number that lists a target person for whom a voice pattern template is not defined) (col.83, lines 30-34; 'providing' reads on the claim 'placing' and 'VMS' reads on the claimed 'an automated calling system').

Matthews further teaches playing a name announcement which asks for the recipient's I.D. (fig. 40; col.83, lines 30-62; 'name announcement' reads on the claimed 'a prerecorded greeting prompt' and 'recipient's I.D.' reads on the claim 'target person').

Matthews fails to teach, "receiving a spoken response from an answering person". Bartholomew teaches receiving a spoken response from an answering party (col.43, lines 62-67, col.44, lines 1-12; 'party' reads on the claim 'person'). Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Matthews to allow receiving a spoken response from an answering person as taught by Bartholomew. The motivation for the modification is to have the spoken response in order to identify the answering person without requiring the pressing of his DTMF keypad.

Matthews fails to teach, "performing a speech recognition analysis on said spoken response to determine a status of said spoken response". Bartholomew teaches voice authentication module comparing the extracted speech information to stored pattern information to identify and authenticate the particular answering party (col.44, lines 1-12; 'voice authentication module comparing the extracted speech information to stored pattern information' reads on the claim 'performing a speech recognition analysis on said spoken response' and 'identify and authenticate the particular answering party' reads on the claim 'determine a status of said spoken response'). Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Matthews to allow speech recognition analysis to determine the target person as taught by Bartholomew. The motivation for the modification is to have the speech recognition analysis in order to make a selection without requiring the person who answers the call to press his DTMF keypad.

Regarding claim 21(E)(a), Matthews further fails to teach, "if said speech recognition analysis determines that said answering person is said target person, initiating a speech recognition application with said target person". Bartholomew teaches that if voice authentication module determines that the answering party is the called subscriber, monitoring the conversation with the called subscriber (col.44, lines 1-12, 31-63; 'voice authentication module' reads on the claim 'speech recognition analysis', 'answering party is the called subscriber' reads on the claim 'said answering person is said target person' and 'monitoring the conversation with the called subscriber' reads on the claim 'initiating a speech recognition application with said target person'). Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Matthews to allow the answering person as the target person as taught by Bartholomew. The motivation for the modification is to have the determination in order to keep the secrecy of the call.

Regarding claim 21(E)(b), Matthews fails to teach, "if said speech recognition analysis determines that said spoken response indicates that said answering person is not said target person, a next step comprises initiating a prerecorded query asking for said target person". Bartholomew teaches that if voice authentication module determines that the spoken response indicates that the answering party is not the called subscriber, a next step comprises asking for the harassed party (col.44, lines 1-12, col.45, lines 28-52; 'voice authentication module' reads on the claim 'speech recognition analysis', 'the answering party is not the called subscriber' reads on the claim 'said answering person is not said target person' and 'asking for the harassed party' reads on the claim 'initiating a prerecorded query asking for said target person'). Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to

modify Matthews to allow speech recognition analysis determining that the answering person is not said target person as taught by Bartholomew. The motivation for the modification is to have the speech recognition analysis in order to make sure that the target person is answering the phone.

Matthews further fails to teach, “upon said target person answering said telephone call, said method further comprises initiating a speech recognition application with said target person”. Bartholomew teaches that upon the called subscriber answering the telephone, the method further comprises monitoring the conversation with the called subscriber (col.44, lines 1-12, 31-63, col.45, lines 28-52; ‘the called subscriber answering the telephone’ reads on the claim ‘upon said target person answering said telephone call’ and ‘monitoring the conversation with the called subscriber’ reads on the claim ‘initiating a speech recognition application with said target person’). Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Matthews to allow the answering person as the target person as taught by Bartholomew. The motivation for the modification is to have the determination in order to keep the secrecy of the call.

Regarding claim 21(E)(c), Matthews fails to teach, “said target person is not present at said location, a next step comprises initiating a prerecorded query asking to leave a message for said target person”. Bartholomew teaches that the called subscriber is not answering the telephone, a next step comprises initiating an answering prompt message to the caller to record a message from the caller (col.44, lines 1-12, 31-63, col.45, lines 28-52, col.47, lines 33-39; ‘the called subscriber is not answering the telephone’ reads on the claim ‘said target person is not present at said location’ and ‘an answering prompt message to the caller to record a message

Art Unit: 2645

from the caller' reads on the claim 'a prerecorded query asking to leave a message for said target person'). Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Matthews to allow initiating a prerecorded query asking to leave a message for said target person as taught by Bartholomew. The motivation for the modification is to have the initiation in order to record the message for later retrieval.

Regarding claim 21(E)(d), Matthews fails to teach, "if said speech recognition analysis determines that said spoken response is a hold request, a next step comprises entering a wait state to wait for said target person to provide a spoken response to said telephone call". Bartholomew teaches if voice authentication module determines that the spoken response is a hold request, a next step comprises entering a hold sequence to wait for the answering party to provide a spoken response to the telephone (col.44, lines 1-12, 31-63, col.45, lines 28-52, col.47, lines 53-67, col.46, lines 1-5; 'voice authentication module' reads on the claim 'speech recognition analysis', 'hold sequence' reads on the claim 'wait state', 'answering party' reads on the claim 'target person' and 'telephone' reads on the claim 'telephone call'). Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Matthews to allow spoken response as a hold request as taught by Bartholomew. The motivation for the modification is to have the hold request in order to get the target person.

Matthews further fails to teach "upon said target person providing a spoken response to said telephone call, said method further comprises initiating a speech recognition application with said target person". Bartholomew teaches that upon the called subscriber answering the telephone, the method further comprises monitoring the conversation with the called subscriber (col.44, lines 1-12, 31-63, col.45, lines 28-52; 'the called subscriber answering the telephone'

Art Unit: 2645

reads on the claim 'upon said target person providing a spoken response to said telephone call' and 'monitoring the conversation with the called subscriber' reads on the claim 'initiating a speech recognition application with said target person'). Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Matthews to allow target person providing a spoken response to telephone call after being available as taught by Bartholomew. The motivation for the modification is to allow the system to deliver the message to the particular person to keep the secrecy of the message.

Regarding claim 21(E)(e), Matthews in view of Bartholomew fail to teach "if said speech recognition analysis determines that said spoken response is a request for the identity of the entity responsible for the calling system, the method further comprises initiating a prerecorded response indicating the identity of the calling party". Miner teaches the system attempting to recognize the caller by playing prerecorded response (col.7, lines18-37). If the system succeeds in recognizing the caller on the basis of his phone number, it then plays the prerecorded message and stores the identity of the contact (col.7, lines 38-50). It then attempts to locate the subscriber (col.7, lines 51-56). Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Matthews in view of Bartholomew to allow the system to identify the entity responsible for the calling system and to initiate a prerecorded response indicating the identity of the calling party as taught by Miner. The motivation for the modification is to allow the calling system to provide identity of the calling party and the target person.

Regarding claims 21(E)(f), Matthews in view of Bartholomew fail to teach "said telephone number is not the correct number for the target person, the method further comprises



Art Unit: 2645

initiating a prerecorded apology message and terminating said telephone call". Szlam teaches that a wrong number was made, the method further comprises playing an apology message and terminating the call terminating the call (col.2, lines 51-58, fig. 2B; 'a wrong number was made' reads on the claim 'said telephone number is not the correct number for the target person' and 'playing an apology message and terminating the call terminating the call' reads on the claim 'initiating a prerecorded apology message and terminating said telephone call'). Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Matthews in view of Bartholomew to play an apology message as taught by Szlam. The motivation for the modification is to have initiating a prerecorded apology message in order to generate an apology message to the call recipient for apologizing for the wrong call.

Regarding claims 21(E)(g), Matthews in view of Bartholomew fail to teach "if said speech recognition analysis cannot determine a status of said spoken response, said method further comprises repeating, said prerecorded greeting which asks for the target person". Miner further teaches electronic assistant mediating the connection when a contact tries to reach the subscriber (col.2, lines 20-22) and performing a speech recognition analysis on spoken response of the subscriber (col.6, lines 26-37). If the subscriber is not accepting any calls (col.7, lines 66,67), the system plays a prerecording message (col.8, lines 1,2). The system may also send a message notifying the subscriber of the call and identifying the caller (col.8, lines 33-35). Then the subscriber has the option of accepting the call, asking the system to place the caller on hold while he completes his present call (col.8, lines 36-39). When the subscriber has completed his other call, he instructs the system to establish a direct connection with the new caller (col.8, lines 40-49). Thus, it would have been obvious to one of ordinary skill in the art at the time the

invention was made to modify Matthews in view of Bartholomew to allow the system to repeat prerecorded greeting which asks for the target person if the speech recognition analysis cannot determine a status of spoken response as taught by Miner. The motivation for the modification is to allow the calling system to make sure the availability of the target person.

10. Claim 22 is rejected under 35 U.S.C. 103(a) as being unpatentable over Matthews et al.(U.S. Patent No. 4,761,807) and in view of Bartholomew et al.(U.S. Patent No. 6,167,119) and further in view of Szlam et al.( U.S. Patent No. 5,828,731).

Regarding claim 22, Matthews teaches providing, with VMS, a call (i.e., a telephone call) to a telephone station (i.e., a location having a telephone number that lists a target person for whom a voice pattern template is not defined) (col.83, lines 30-34; 'providing' reads on the claim 'placing' and 'VMS' reads on the claimed 'an automated calling system').

Matthews further fails to teach, "waiting for a predetermined time period for a spoken response". Bartholomew teaches waiting for the answering party to provide a spoken response to the telephone (col.44, lines 1-12, 31-63, col.45, lines 28-52, col.47, lines 53-67, col.46, lines 1-5; 'waiting for the answering party' reads on the claim 'waiting for a predetermined time period' and 'telephone' reads on the claim 'telephone call'). Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Matthews to allow waiting for a predetermined time period for a spoken response as taught by Bartholomew. The motivation for the modification is to have the waiting request in order to get the target person.

Matthews further teaches playing a name announcement which asks for the recipient's I.D. (fig. 40; col.83, lines 30-62; 'name announcement' reads on the claimed 'a prerecorded greeting prompt' and 'recipient's I.D.' reads on the claim 'target person').

Matthews further fails to teach, “while playing said prerecorded greeting prompt, attempting to detect a further spoken response in excess of a predetermined time parameter”. Bartholomew teaches while playing the instruction, attempting to detect a further spoken response in excess of a holding time (col.44, lines 1-12, 31-63, col.45, lines 28-52, col.46, lines 1-5; ‘instruction’ reads on the claim ‘prerecorded greeting prompt’ and ‘holding time’ reads on the claim ‘predetermined time parameter’). Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Matthews to allow attempting to detect a further spoken response in excess of a predetermined time parameter as taught by Bartholomew. The motivation for the modification is to have the detection in order to get the spoken response of the target person.

Matthews further fails to teach, “in the absence of detecting said further spoken response during the playing of said prerecorded greeting prompt, initiating a query application”. Bartholomew teaches in the absence of detecting the further spoken response during the playing of the instruction, initiating a call handling instruction (col.44, lines 1-12, 31-63, col.45, lines 28-52, col.46, lines 1-5; ‘instruction’ reads on the claim ‘prerecorded greeting prompt’ and ‘call handling instruction’ reads on the claim ‘query application’). Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Matthews to allow initiating a query application as taught by Bartholomew. The motivation for the modification is to have the initiation in order to get the target person.

Matthews further fails to teach, “upon detecting said further spoken response during the playing of said prerecorded greeting prompt, terminating the playing of said prerecorded prompt”. Bartholomew teaches upon detecting the further spoken response during the playing of

Art Unit: 2645

the instruction, terminating the playing of instruction (col.44, lines 1-12, 31-63, col.45, lines 28-52, col.46, lines 1-5; 'instruction' reads on the claim 'prerecorded greeting prompt'). Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Matthews to allow initiating a query application as taught by Bartholomew. The motivation for the modification is to have the initiation in order to get the target person.

Matthews in view of Bartholomew fails to teach "an answering machine has been detected". Szlam teaches that an answering machine has been detected (abstract; fig.1; col.3, lines 11-50, col.5, lines 4-23). Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Matthews in view of Bartholomew to allow an answering machine to be detected as taught by Szlam. The motivation for the modification is to have the detection in order to leave the message for the desired person.

11. Claims 23 and 24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Matthews et al.(U.S. Patent No. 4,761,807) and in view of Bartholomew et al.(U.S. Patent No. 6,167,119) and further in view of Szlam et al.( U.S. Patent No. 5,828,731) and further in view of Brown et al.( U.S. Patent No. 5,333,180).

Regarding claim 23, Matthews in view of Bartholomew further in view of Szlam fails to teach "attempting to detect a beep tone during the playing of said prerecorded greeting prompt and, upon the detection of a beep tone, interrupting the prerecorded greeting prompt". Brown teaches tone prompting for the recording of the recipient's response during playing an announcement and upon detecting the tone, inherently interrupting the announcement (fig.4; col.14, lines 45-66; 'tone prompting for the recording of the recipient's response' reads on the claim 'detect a beep tone' and 'announcement' reads on the claim 'said prerecorded greeting

Art Unit: 2645

prompt'). Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Matthews in view of Bartholomew further in view of Szlam to allow detecting a beep tone and interrupting the prerecorded greeting prompt as taught by Brown. The motivation for the modification is for doing so in order to provide the option to leave the message for the desired person.

However, Matthews fails to teach "playing a prerecorded answering machine message prompt". Szlam teaches that answering machine answers the call (fig.1; col. 5, lines 4-23; 'answering machine answers the call' reads on the claimed 'playing a prerecorded answering machine message prompt'). Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Matthews to play a prerecorded answering machine message prompt as taught by Szlam. The motivation for the modification is to play the prompt in order to ask the calling party to leave the message for the called party.

Regarding claim 24, Matthews in view of Bartholomew further in view of Szlam fails to teach "attempting to detect a beep tone during the playing of said prerecorded answering machine message prompt and, upon the detection of a beep tone, interrupting said prerecorded answering machine message prompt". Brown teaches tone prompting for the recording of the recipient's response during playing an announcement and upon detecting the tone, inherently interrupting the announcement (fig.4; col.14, lines 45-66; 'tone prompting for the recording of the recipient's response' reads on the claim 'detect a beep tone' and 'announcement' reads on the claim 'said prerecorded answering machine message prompt'). Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Matthews in view of Bartholomew further in view of Szlam to allow detecting a beep tone and interrupting the

prerecorded greeting prompt as taught by Brown. The motivation for the modification is for doing so in order to provide the option to leave the message for the desired person.

However, Matthews fails to teach “replaying said prerecorded prompt”. Szlam teaches that answering machine answers the call (fig.1; col. 5, lines 4-23; ‘answering machine answers the call’ reads on the claimed ‘replaying said prerecorded prompt’). Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Matthews to replay a prerecorded answering machine message prompt as taught by Szlam. The motivation for the modification is to replay the prompt in order to ask the calling party to leave the message for the called party.

### *Conclusion*

12. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

13. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Md S Elahee whose telephone number is (703) 305-4822. The examiner can normally be reached on Mon to Fri from 8:30am to 5:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Fan Tsang can be reached on (703) 305-4895. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 872-9314 for regular communications and (703) 872-9314 for After Final communications.

Communications via Internet e-mail regarding this application, other than those under 35 U.S.C. 132 or which otherwise require a signature, may be used by the applicant and should be addressed to [fan.tsang@uspto.gov].

All Internet e-mail communications will be made of record in the application file. PTO employees do not engage in Internet communications where there exists a possibility that sensitive information could be identified or exchanged unless the record includes a properly signed express waiver of the confidentiality requirements of 35 U.S.C. 122. This is more clearly set forth in the Interim Internet Usage Policy published in the Official Gazette of the Patent and Trademark on February 25, 1997 at 1195 OG 89.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-9600.

**Any response to this action should be mailed to:**

**Box AF**

Commissioner of Patents and Trademarks

Washington, DC 20231

**or faxed to:**

Art Unit: 2645

(703) 308-5397(for formal communications intended for entry; please mark "EXPEDITED  
PROCEDURE")

(703)**306-5406**(for informal or draft communications, such as proposed amendments to be  
discussed at an interview; please label such communications "PROPOSED" or "DRAFT")

or hand-carried to:

Crystal Park Two

2121 Crystal Drive

Arlington, VA.

Sixth Floor (Receptionist)

*Allan Hoosain*  
ALLAN HOOSAIN  
PRIMARY EXAMINER *for*  
*Fan Tsang*